

Certificate of Registration

QUALITY MANAGEMENT SYSTEM - ISO 9001:2015

This is to certify that:

Comder Contraparte Central S.A.
Cerro Colorado 5240, Torre I, Piso 18
Las Condes
Santiago
7560995
Chile

Holds Certificate No:

FS 647344

and operates a Quality Management System which complies with the requirements of ISO 9001:2015 for the following scope:

Please see scope page.

For and on behalf of BSI:



Carlos Pitanga, Chief Operating Officer Assurance – Americas

Original Registration Date: 2016-07-18

Latest Revision Date: 2019-05-30

Effective Date: 2019-07-18

Expiry Date: 2022-07-17

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...making excellence a habit.™

Certificate No: **FS 647344**

Registered Scope:

Comder's Quality Management system, in conformity with the BCMS and ISMS, the current regulation and its Participants requirements, covers the delivery of the following services: - Trades (Ordenes de Compensación) Confirmation, Clearing and Settlement and Registration. - Margin payments process and Cash and Securities Guaranties (In and out payments, cash credit and withdrawals and pledge and unpledged of securities) - Valuation of Portfolios, Collaterals and Risks valuations - Web Portal (On line Information an Operation) - End of day Reports (Through STI mail boxes) - Support to Direct Participants.

El Sistema de Gestión de Calidad de ComDer, en conformidad con los requisitos del Sistema de Gestión de Continuidad de Negocios, del Sistema de Gestión de Seguridad de la Información, de la regulación vigente que rige a la Sociedad y de los requerimientos de los Participantes, cubre la entrega de los siguientes servicios: - Confirmación, Compensación y Liquidación y Registro de Ordenes de Compensación (OCs) - Movimientos de Márgenes y Garantías Efectivo e Instrumentos Financieros (Pagos entrantes y salientes, abonos y retiros de efectivo y constitución y alzamiento de instrumentos financieros) - Valorización de Posiciones, Garantías y Riesgos (Enterprise Risk System -ERS) - Web Portal (Operación e Información en Línea) - Información de fin de Día (vía Casillas STI) - Soporte a Participantes.



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This certificate remains the property of BSI and shall be returned immediately upon request.

An electronic certificate can be authenticated [online](#). Printed copies can be validated at www.bsigroup.com/ClientDirectory To be read in conjunction with the scope above or the attached appendix.

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